# Received by NSD/FARA Registration Unit 04/18/2021 9:15:45 PM OMB No. 1124-0006; Expires July 31, 2023

### U.S. Department of Justice

Washington, DC 20530

### Exhibit A to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at <a href="https://www.fara.gov">https://www.fara.gov</a>.

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the FARA Unit in Washington, DC. Statements are also available online at the FARA Unit's webpage: <a href="https://www.fara.gov">https://www.fara.gov</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <a href="https://www.fara.gov">https://www.fara.gov</a>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .22 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, FARA Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant		2. Registration Number
Davis Goldberg & Galper PLLC		6949
3. Primary Address of Registrant		
1120 20th Street NW, Ste. 700 North, Washington, DC	20036	
4. Name of Foreign Principal	5. Address of Foreign Principal	1
Dmytro Firtash	c/o Group DF Holdings Limited, 6	th Floor, Flat/Office
	Limassol CYPRUS 3035	
6. Country/Region Represented		
UKRAINE		
7. Indicate whether the foreign principal is one of the following	); ;	
☐ Government of a foreign country <sup>1</sup>		
☐ Foreign political party		
☐ Foreign or domestic organization: If either, check or	ne of the following:	
☐ Partnership ☐	Committee	
☐ Corporation ☐	Voluntary group	
☐ Association ☐	Other (specify)	
▼ Individual-State nationality UKRAINE		
8. If the foreign principal is a foreign government, state:		
a) Branch or agency represented by the registrant		
b) Name and title of official with whom registrant en	gages	

<sup>1 &</sup>quot;Government of a foreign country," as defined in Section 1(e) of the Act, includes any person or group of persons exercising sovereign de facto or de jure political jurisdiction over any country, other than the United States, or over any part of such country, and includes any subdivision of any such group and any group or agency to which such sovereign de facto or de jure authority or functions are directly or indirectly delegated. Such term shall include any faction or body of insurgents within a country assuming to exercise governmental authority whether such faction or body of insurgents has or has not been recognized by the United States.

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9. If the foreign principal is a foreign political party, state:	
a) Name and title of official with whom registrant engages	
b) Aim, mission or objective of foreign political party	
10. If the foreign principal is not a foreign government or a foreign political party:	
a) State the nature of the business or activity of this foreign principal.  Businessperson	
b) Is this foreign principal:	
Supervised by a foreign government, foreign political party, or other foreign principal	Yes 🗌 No 🗷
Owned by a foreign government, foreign political party, or other foreign principal	Yes 🗌 No 🗷
Directed by a foreign government, foreign political party, or other foreign principal	Yes 🗌 No 🗷
Controlled by a foreign government, foreign political party, or other foreign principal	Yes 🗌 No 🗷
Financed by a foreign government, foreign political party, or other foreign principal	Yes 🗌 No 🗷
Subsidized in part by a foreign government, foreign political party, or other foreign principal	Yes 🗌 No 🗷
11. Explain fully all items answered "Yes" in Item 10(b).	
12. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign principal state who covers and controls it	olitical party or other
foreign principal, state who owns and controls it.	

### **EXECUTION**

Date	Printed Name	Signature
04/18/2021	Lanny J. Davis	/s/Lanny J. Davis

### **EXECUTION**

Date	Printed Name	Signature
4/15/21	LANMJ. DAVIS	Jany A Davis, partner, OGG PLL

OMB No. 1124-0004; Expires July 31, 2023

U.S. Department of Justice

strategies for such purposes.

Washington, DC 20530

### Exhibit B to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at <a href="https://www.fara.gov">https://www.fara.gov</a>.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the FARA Unit in Washington, DC. Statements are also available online at the FARA Unit's webpage: <a href="https://www.fara.gov">https://www.fara.gov</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <a href="https://www.fara.gov">https://www.fara.gov</a>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .32 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, FARA Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

Justice, Washington, DC 20530; and to the Office of Information and R	egulatory Affairs, Office of Management and Budget, Washington, DC 2050
1. Name of Registrant Davis Goldberg & Galper PLLC	2. Registration Number
3. Name of Foreign Principal Dmytro Firtash	
Check App	propriate Box:
4. The agreement between the registrant and the above-name checked, attach a copy of the contract to this exhibit.	ed foreign principal is a formal written contract. If this box is
foreign principal has resulted from an exchange of corres	and the foreign principal. The agreement with the above-named pondence. If this box is checked, attach a copy of all pertinent which has been adopted by reference in such correspondence.
contract nor an exchange of correspondence between the	nd the foreign principal is the result of neither a formal written parties. If this box is checked, give a complete description below of anding, its duration, the fees and expenses, if any, to be received.
7. What is the date of the contract or agreement with the foreign	principal? 04/12/2021
8. Describe fully the nature and method of performance of the a	bove indicated agreement or understanding.
in ongoing matters, including working with U.S. and services in support of legal and litigation position	engaged to provide legal services, legal representation di European Co-Defense Counsel, on strategies and ons. At times, these services may include correcting the istortions and inaccuracies and advising on such media

9. Describe fully the	activities the registrant enga	ages in or proposes to engage in	on behalf of the above foreign principal.
in ongoing matt services in sup	ers, including working port of legal and litic edia and elsewhere in t	with U.S. and European Co- gation positions. At times,	ovide legal services, legal representation Defense Counsel, on strategies and these services may include correcting the inaccuracies and advising on such media
10. Will the activities of	on behalf of the above forei	gn principal include political act	tivities as defined in Section 1(o) of the Act <sup>1</sup> .
Yes 🗷	No 🗆		
together with the n involving lobbying	neans to be employed to ac	hieve this purpose. The response	relations, interests or policies to be influenced e must include, but not be limited to, activities nomic development, and preparation and
in ongoing matt services in sup	ers, including working port of legal and litic edia and elsewhere in	with U.S. and European Co- gation positions. At times,	ovide legal services, legal representation Defense Counsel, on strategies and these services may include correcting the inaccuracies and advising on such media
11 Diameter 1	2.0 1.0	* * 11 *	
activities, for this f		in principal has the registrant en	gaged in any registrable activities, such as political
Yes 🗆	No 🗷		
policies sought to delivered speeches names of speakers	be influenced and the mean , lectures, social media, int , and subject matter. The re tion management, public re	s employed to achieve this purp ernet postings, or media broadca sponse must also include, but no	among other things, the relations, interests, and ose. If the registrant arranged, sponsored, or asts, give details as to dates, places of delivery, of the limited to, activities involving lobbying, and preparation and dissemination of
Set forth below a g	general description of the re	egistrant's activities, including po	olitical activities.
Set forth below in	the required detail the regis	strant's political activities.	
Date Co		Method	Down
	ontact	Method	Purpose

12.	2. During the period beginning 60 days prior to the obligation to register <sup>3</sup> for this foreign principal, has the registrant received from the foreign principal, or from any other source, for or in the interests of the foreign principal, any contributions, income, money, or thing of value either as compensation, or for disbursement, or otherwise?				
	Yes 🗷	No 🗆			
	If yes, set forth b	below in the required detail an accour	nt of such monies or things of value.		
	Date Received 04/12/2021	From Whom GROUP DF HOLDINGS LIMITED	Purpose Advance Payment of Fees	Amoun \$	t/Thing of Value 224,990.00
				\$	224,990.00
13			gation to register <sup>4</sup> for this foreign principal, has alf of the foreign principal or transmitted monies		
	Yes □	No 🗷			
	If yes, set forth b	pelow in the required detail and separ	rately an account of such monies, including mor	nies transmi	tted, if any.
	Date	Recipient	Purpose	Amou	int
·					

<sup>1 &</sup>quot;Political activity," as defined in Section 1(o) of the Act, means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

<sup>2,3,4</sup> Pursuant to Section 2(a) of the Act, an agent must register within ten days of becoming an agent, and before acting as such.

### **EXECUTION**

Date	Printed Name	Signature
04/18/2021	Lanny J. Davis	/s/Lanny J. Davis

### **EXECUTION**

Date	Printed Name	Signature
4/15/21	LANMJ. DAVIS	Jany A Davis, partner, OGG PLL

March 15, 2021

### BY EMAIL: robert.shetler-jones@groupdfi.com & ralph@isenegger-associates.com

Mr. Dymitry Firtash c/o GROUP DF HOLDIN

GROUP DF HOLDINGS LIMITED LOPHITIS BUSINESS CENTER,

6th floor, Flat/Office 3&4 3035, Limassol, Cyprus JSC Bank Alliance Sichovih Strilciv str 50 04053 Kyiv, Ukraine

IBAN:

SWIFT:

Re: Retention of Davis, Goldberg & Galper PLLC

Dear Mr. Firtash:

I am pleased to confirm your engagement of Davis Goldberg & Galper PLLC ("DGG") to provide legal advice and counsel to you personally ("you" or the "Client") and to work with your lead U.S. defense counsel, Mr. Daniel Webb of Winston & Strawn LLP, to defend you in the current U.S. prosecution (the "Services"). The Services shall include, along with Mr. Webb, (1) attempting to correct the public record regarding false and misleading assertions in the media about Mr. Firtash by the prosecutors and others that may already have tainted the jury pool; and (2) attempting to prove that the indictment is invalid and should be dropped.

I am asking you to sign this letter as personal guarantor of all fees as set forth below, but I understand you are asking us to send our invoices of our monthly fees to the following:

#### **GROUP DF HOLDINGS LIMITED**

LOPHITIS BUSINESS CENTER, 6th floor, Flat/Office 3&4 3035, Limassol, Cyprus JSC Bank Alliance Sichovih Strilciv str 50 04053 Kyiv, Ukraine

IBAN: |

SWIFT:

The purpose of this engagement letter is to confirm the terms and conditions upon which DGG will provide the Services to you. The Services are rendered with the

Mr. Dimitry Firtash March 15, 2021 Page 2 of 7

understanding that our communications with you are subject to the attorney-client privilege and that our work is attorney work product.

DGG's Services will be limited to advising the Client on the Services. Our Services will not extend to other business, personal, or legal affairs of the Client or to any other aspect of the Client's activities except as the Client and DGG may agree in their sole and absolute discretions from time to time.

Fees and Payment Schedule

The Services shall be performed at a fee of \$75,000 per month for the first three months (or March 15-June 15, 2021) for a total of \$225,000 (First Three-Month Period Transfer"), which shall be paid by wire upfront and due immediately upon your executing this engagement letter. It is agreed that this engagement letter contract shall become a contract only on the receipt by DGG of such First Three-Month Period Transfer. We expect to be on March 15, 2021, but the actual contractual commitment will begin on the receipt of this \$225,000 wire transfer by DGG from the Client.

We have also agreed to continue to provide Services at a reduced rate of \$50,000 per month thereafter, with advance payment due for three months or \$150,000 as of the  $15^{th}$  of the month of the first month of such three months. In other words, the first three-month time period at \$50,000 per month shall begin as of June 15 and continue until September 15, 2021, with payment due to be transferred to DGG on June 15, 2020 of \$150,000 (i.e., for next three months); and so on, with \$150,000 due on the  $15^{th}$  of the month at the end of each such three-month period.

Simple summary – schedule of fees due:

First Three-Month Period Transfer – due March 15, 2021: \$225,000 (\$75,000 per month) [actual contract formed on actual date DGG receives transfer]

# <u>First invoice to be sent shortly, with \$225,000 wire transfer due on March 15,</u> 2021.

Second Three Month Period Transfer (at \$50,000 per month): !50,000 due June 15, 2020t.

Third Three-Month Period Transfer and thereafter: \$150,000 transfer, at \$50,000 per month in advance, due on September 15, 2021; and so on the 15<sup>th</sup> of the month of the each of the next subsequent three-month time periods.

Mr. Dimitry Firtash March 15, 2021 Page 3 of 7

Fees for a monthly period shall be deemed fully earned upon the first day of such monthly period. Attorneys who receive payments in advance of earning their fees are required to place the payments in trust accounts for their clients' benefits until the fees have been earned. Because you agree that DGG shall have earned its fee on the first day of a monthly period, you understand that your fees shall be paid directly into DGG's operating account and not into any trust account unless you pay for a monthly period before that period begins. If at any time you wish to pay fees for a monthly period in advance of the first day of such period, please let us know and we will provide you the wiring instructions for our client trust account so that we may keep such advance payment in such account until the first day of the applicable monthly period.

Notwithstanding that fees shall be deemed earned upon the first day of the applicable monthly period, DGG shall be obligated to perform the services retained hereunder and, if DGG does not perform such services, the Client shall be entitled to a refund of the fees paid for the period in which DGG did not perform such services.

[Note: Apology for duplicating: the following language is required by our local bar rules obligations: Given the foregoing two paragraphs, upon receipt of the \$225,000 in fees for the first three months, which we shall regard as beginning on March 15, 2021, we shall immediately place \$150,000 of that amount into our client trust account. On April 15, we shall transfer \$75,000 of the \$150,000 into our operating account and, on May 15, 2021, we shall place the remaining \$75,000 into our operating account. Similarly, upon receipt of the \$150,000 in fees for the second set of three months, such fees shall be placed in the trust account, provided that \$50,000 shall be transferred to our operating account on the  $15^{th}$  of each of following three months.]

In addition to the fees, DGG charges for travel expenses and authorized costs and disbursements that DGG incurs in connection with rendering its services. Expenses and disbursements incurred will be billed in monthly statements that are payable upon receipt. All airfare tickets, which shall be in business class, will be paid by Client before departure. Moreover, notwithstanding the foregoing, unless special arrangements are made, fees and expenses of third parties may be billed directly to the Client.

The Client shall pay the expenses by wire in accordance with the wiring instructions set forth on **Exhibit A** attached to this letter.

Since we will be acting at your direction, you agree to indemnify and hold us and our agents and assigns harmless, including reasonable attorneys fees, with respect to any claims or actions arising out of the Services as defined above performed as authorized by the Client,

Mr. Dimitry Firtash March 15, 2021 Page 4 of 7

such as media statements that lead to defamation or other related claims against Lanny Davis or Eleanor McManus or DGG for statements or actions made in good faith and in accordance with the Client's instructions. Such indemnification shall apply to any costs arising from subpoenas for testimony or documents taken against any other partner or employee of DGG as a result of performing the Services as defined above. However, this indemnification commitment shall not be applicable if our statements or actions leading to such costs are contrary to the Client's written instructions or otherwise are a result of our gross negligence.

It is agreed that this engagement letter will only take effect, and DGG will only act as Client's agent, upon full execution of this letter by your signature and the wiring of the aforementioned fees to DGG, beginning with the first fee due on the date of signature or shortly thereafter. This agreement may be executed in counterparts, and an electronically transmitted signature shall be deemed to be the legal equivalent of an original signature.

The Client may terminate this representation at any time, with or without cause. Subject to the applicable rules of professional responsibility, DGG may terminate this representation at any time, with or without cause, including (without limitation) if the Client fails to make timely payments of any invoice or any fact or circumstance arises that, in DGG's view, renders our continuing representation unlawful or unethical. Any termination of our representation of the Client would be subject to such approval as may be required from any court in which we are appearing on the Client's behalf. In the event of termination by either of us, the Client agrees to pay us fees and costs for work performed or earned before termination to the extent permitted by law.

DGG's agreement to represent the Client is conditioned upon the understanding and Client's agreement that DGG is free to represent any entities or individuals, and to take positions adverse to the Client, in any matters that do not involve the same factual and legal issues as matters for which the Client has retained us and that do not involve any confidential information we obtained from the Client. The Client understands that, while DGG may obtain confidential information from other clients that may be of interest to the Client, DGG cannot share such information with the Client.

You agree that all disputes under this agreement (including, without limitation, the indemnification provisions) shall be determined by an expedited, binding arbitration under the rules of the American Arbitration Association, with such arbitration to occur in Washington, D.C. and with all awards of the arbitrator to be enforceable in the U.S. District Court for the District of Columbia. Any disputes under this agreement shall be governed by the laws of the District of Columbia.

Mr. Dimitry Firtash March 15, 2021 Page 5 of 7

If the foregoing accurately reflects our agreement, please confirm by signing below, retaining a copy for your records, and returning it to me. Please do not hesitate to call me to discuss any questions you may have regarding this agreement. In addition, I encourage you to review this agreement with separate counsel to answer any questions or concerns you may have.

Thank you again for this opportunity. We look forward to working with you.

Very truly yours,

**DAVIS GOLDBERG & GALPER PLLC** 

1 0.

By:	Janny J Davis
J	Lanny J. Davis Co-Founder & Partner

By signing below, you acknowledge and represent that you have read this engagement letter and that you understand and agree to the terms and provisions.

MR. DIMITRY FIRTASH (the "Client" - personal guarantor of Fees)

Mr. Dimitry Firtash [signed] Date:

Invoices to be sent to and paid by:

### **GROUP DF HOLDINGS LIMITED**

LOPHITIS BUSINESS CENTER, 6th floor, Flat/Office 3&4 3035, Limassol, Cyprus JSC Bank Alliance Sichovih Strilciv str 50 04053 Kyiv, Ukraine

Mr. Dimitry Firtash March 15, 2021 Page 6 of 7

IBAN: SWIFT:

Mr. Dimitry Firtash March 15, 2021 Page 7 of 7

### **EXHIBIT A**

Bank:	PNC Bank, N.A.
Bank Address:	1913 Massachusetts Ave NW, Washington, D.C. 20036
Account Name:	Davis Goldberg & Galper PLLC
Account Number:	
ABA/Routing Number:	
ACH Number:	
Swift Code:	

Mr. Dimitry Firtash March 15, 2021 Page 5 of 7

discuss any questions you may have regarding this agreement. In addition, I encourage you to review this agreement with separate counsel to answer any questions or concerns you may have.

Thank you again for this opportunity. We look forward to working with you.

Very truly yours,

DAVIS GOLDBERG & GALPER PLLC

Lang A Davis

Ву: \_

Lanny J. Davis

Co-Founder & Partner

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MR. DIMITRY FIRTASH (the "Client" - personal guarantor of Fees)

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Invoices to be sent to and paid by:

GROUP DF HOLDINGS LIMITED LOPHITIS BUSINESS CENTER, 6th floor, Flat/Office 3&4 3035, Limassol, Cyprus JSC Bank Alliance Sichovih Strilciv str 50

04053 Kyiv, Ukraine
[BAN: 1
SWIFT: 1
1120 20th Street, NW I suite 700 North I Washington, DC 20036 | 202-320-0751 www.dggpllc.com